# LWE Encryption Using LZW Compression 

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#### Abstract

ENCRYPTION of data has become essential, for sending confidential information from one system to another system, especially in banking sector. NTRU labs have done pioneering work using a ring of truncated polynomials which was based on the impossibility (with proper choice of parameters) of finding the polynomial with knowledge of its inverse in modular arithmetic. Recently, Learning With Errors (LWE) has been studied extensively and its hardness can be linked to the near impossibility of finding the Shortest Vector on integer lattices. In this paper we have shown that a pre-processing of input before applying the LWE algorithm greatly reduces the time of encryption and decryption.


Key words: LWE • LZW • Modular arithmeticl Number Theory Research Unit (NTRU) • Ring of truncated polynomials • SVP

## INTRODUCTION

Secure transmission of data has become the key for successful completion of all transactions. NTRU Labs have created a bench-mark in secure transmission of data using a ring of truncated polynomials [1, 2, 3, 4]. Many attempts have been made to break the crypto-systems based in NTRU technique; but no successful attempt has ever been reported. However polynomial inversions are difficult to perform in modulo-arithmetic. Moreover, polynomials are to be repeatedly chosen until they could be properly inverted.

In the last three to four years, Learning With Errors (LWE) has emerged as a versatile alternative to the NTRU cryptosystems. All cryptographic constructions based on LWE $[5,6,7]$ are as secure as the assumption that SVP (Smallest Vector Problem) [8, 9] is hard on integer lattices.

The LWE problem can be stated as follows:

Recover $s$, given $A . s \approx b$ where $s \in Z_{q}{ }^{n}, b \in Z_{q}{ }^{n}$ and $A$ is $\mathrm{m} \times \mathrm{n}$ matrix with $\mathrm{m}>\mathrm{n}$ and $\mathrm{Z}_{\mathrm{q}}{ }^{\mathrm{n}}$ is set of integer vectors of size n and modulo q . In other words, we are given a set
of $m$ equations in $n$ unknowns and the right hand side slightly perturbed with the error vector chosen from normal distribution $\chi$ with low standard deviation. More precisely we say that an algorithm solves LWE [10] if we can recover $s$, given that the errors are distributed according to the error distribution $\chi$ and the elements of A are chosen uniformly at random from $\mathrm{Z}_{\mathrm{q}}^{\mathrm{n}}$ [10].

The number of equations or the number of rows in the matrix is irrelevant since additional equations can be formed that are as good as new, by adding the given equations.

One way to obtain a solution to the LWE problem is to repeatedly form new equations until we get the first row of the matrix $A$ as $(1,0,0,0, \ldots, 0)$ which gives a solution to the first component of $s$. We can repetitively apply the same procedure for the other components of s. However the probability of obtaining such a solution is almost nil, of the order of $\mathrm{q}^{-\mathrm{n}}$ and the set of equations needed are $2^{0(\mathrm{n}}$ ${ }^{\log n}$ and with a similar running time.

The algorithm can be stated as follows:

Private Key: s , chosen uniformly at random from $\mathrm{Z}_{\mathrm{q}}{ }^{\mathrm{q}}$.

Public Key: $m$ samples of $\left(A_{i}, b_{i}\right)$

Encryption: for each bit of the message, we chose at random a set T from the $2^{\mathrm{m}}$ subsets of the m equations.

The encryption is $\left(\sum_{i \in T} A_{i}, \sum_{i \in T} b_{i}\right)$, if the bit is zero and the encryption is $\left(\sum_{i \in T} a_{i},\left\lfloor\frac{q}{2}\right\rfloor+\sum_{i \in T} b_{i}\right)$ if the bit is 1 .

Decryption: The decryption of the pair $(a, b)$ is 0 if $b-<a$, $\mathrm{s}>$ is closer to 0 than to $\left\lfloor\frac{q}{2}\right\rfloor, 1$ otherwise.

However, transmitting a text with bitwise encryption will be cumber-some and time-taking. We use a slightly modified version of the algorithm to encrypt ' $l$ ' bits simultaneously. We choose $\mathrm{A}, \mathrm{S}$ uniformly at random from $Z_{q}{ }^{m \times n}$ and $Z_{q}{ }^{n \times 1}$ respectively and $S$ is the private key. We generate the error matrix $E \in \mathrm{Z}_{\mathrm{q}}{ }^{m \times 1}$ by choosing each entry according to normal distribution $\chi_{\alpha}$, where $\alpha$ is a measure of standard deviation which is usually chosen as $\sqrt{q \alpha}$ and $\alpha$ is small. The public key is $(A, B)$ where $B=A . S+E$.

Farther simplification is made by choosing the elements of A in the form of a circulant matrix. In other words we have chosen A as [11].

| $a_{1}$ | $a_{2}$ | $a_{3}$ | - | - | - | $a_{n}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $a_{2}$ | $a_{3}$ | $a_{4}$ | - | - |  | $-a_{1}$ |
| $a_{3}$ | $a_{4}$ | $a_{5}$ | - | - | $-a_{1}$ | $-a_{2}$ |
| - | - | - | - | - | - | - |
| $a_{n}$ | $-a_{1}$ | $-a_{2}$ | - | - | - | $-a_{n}$ |
| - | - | - | - | - | - | - |

Let v be a vector belonging to message space $\mathrm{Z}_{\mathrm{q}}{ }^{1}$. Choose a vector a $\epsilon[-1,0,1]^{\mathrm{m}}$ uniformly at random. The cipher text $u$ corresponding to the message $v$ is ( $u=A^{T} a, C=B^{T} a+f(v)$ ) where $f$ is an invertible mapping from the message space $Z_{t}{ }^{1}$ to $Z_{q}{ }^{1}$ and in this paper we have chosen the mapping as a multiplication of each co-ordinate by $\frac{q}{t}$ and rounding to the nearest integer.

The original message can be recovered from the cipher text ( $u, C$ ) using the private key $S$ as $f^{-1}\left(C-S^{T} u\right)$ which can be seen as follows:

$$
\begin{aligned}
& \mathrm{f}^{-1}\left(\mathrm{C}-\mathrm{S}^{\mathrm{T}} u\right) \\
& =\mathrm{f}^{-1}\left(\mathrm{P}^{\mathrm{T}} a+\mathrm{f}(v)-\mathrm{S}^{\mathrm{T}} \mathrm{~A}^{\mathrm{T}} a\right) \\
& =\mathrm{f}^{-1}\left((A S+E)^{T} a+f(v)-S^{T} A^{T} a\right) \\
& =\mathrm{f}^{-1}\left(E^{T} a+f(v)\right) \\
& =\mathrm{f}^{-1}\left(E^{T} a\right)+v
\end{aligned}
$$

If a decryption error is to occur, say in the first letter, the first co-ordinate of $\mathrm{E}^{\mathrm{T}} \mathrm{a}$ must be greater than $\frac{q}{(2 t)}$ in
absolute value, the probability of which is shown to be negligible [11].

However, some pre-processing of data greatly helps to reduce the time for encryption and decryption as well as time for transmission. We choose to compress the data before encryption using LZW (Lemple-Ziv-Welch) $[12,13,14]$ technique and encrypt the reduced text. The LZW method of compression is based on dictionary structure. It creates a dictionary of its own for each character or a string of the input text. It is known to be a lossless compression and the percentage of reduction in the text is approximately $40 \%$ [15].

Another frequently used compression algorithm is the well known Huffman Technique [16, 17, 18] which constructs a binary tree based on the frequency of the occurrence of the letters and the corresponding code is generated. We have also used Huffman algorithm on the same text and compared the two compression technique used with LWE.

Illustration of the Proposed Algorithm: The parameters of the proposed algorithm are chosen as $q=2003, t=2$, $n=136, l=136$, alpha $=0.0065$ and $m=2008$ [11]

Original Text Message: wild animals, rocks, forest, beaches and in general those things that have not been substantially altered by human intervention, or which persist despite human intervention.

The Compressed message using LZW is
[87 $105108100 \ldots 35211046$ ]
where the integers indicate the indices to the patterns generated by the compression algorithm.

Then we convert the message vector as obtained above into a binary
$\left.\mathrm{V}=\left[\begin{array}{lllllllllllllll}0 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 1\end{array}\right] 000\right]$
$f(v)=\operatorname{round}\left(v \times \frac{q}{t}\right)[00100201002010021002100200$
10021002 0... 0 0]
$\mathrm{A} \in \mathrm{Z}_{\mathrm{q}}{ }^{\mathrm{m} \times \mathrm{n}}$ is chosen as,

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| 1591 | 757 | 1974 | $\cdot$ | $\cdot$ | $\cdot$ | 1216 | 1991 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 757 | 1974 | 892 | $\cdot$ | $\cdot$ | $\cdot$ | 1991 | -1591 |
| 1974 | 892 | 1760 | $\cdot$ | $\cdot$ | $\cdot$ | -1591 | -757 |
| $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ |
| $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ |
| 1137 | 1368 | 375 | $\cdot$ | $\cdot$ | $\cdot$ | -887 | -1301 |
| 1368 | 375 | 449 | $\cdot$ | $\cdot$ | $\cdot$ | -1301 | -1137 |
| 375 | 449 | 154 | $\cdot$ | $\cdot$ | $\cdot$ | -1137 | -1368 |

$\mathrm{S} \in \mathrm{Z}^{\mathrm{qn} \times 1}$ is as follows

| 1759 | 2 | 154 | $\cdot$ | $\cdot$ | $\cdot$ | 1044 | 1218 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 764 | 1434 | 996 | $\cdot$ | $\cdot$ | $\cdot$ | 1703 | 945 |
| 475 | 1846 | 462 | $\cdot$ | $\cdot$ | $\cdot$ | 956 | 1644 |
| $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ |
| $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ |
| 136 | 591 | 1728 | $\cdot$ | $\cdot$ | $\cdot$ | 1489 | 708 |
| 782 | 945 | 84 | $\cdot$ | $\cdot$ | $\cdot$ | 121 | 1215 |
| 1271 | 916 | 1500 | $\cdot$ | $\cdot$ | $\cdot$ | 1439 | 76 |

$\mathrm{E} \in \mathrm{Z}_{\mathrm{q}}{ }^{\mathrm{m} \times 1}$ is as follows

| -3 | 0 | -1 | $\cdot$ | $\cdot$ | $\cdot$ | 2 | 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| -2 | 0 | 0 | $\cdot$ | $\cdot$ | $\cdot$ | 0 | 0 |
| -3 | -1 | -2 | $\cdot$ | $\cdot$ | $\cdot$ | -3 | 0 |
| . | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ |
| . | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ |
| -7 | 4 | -1 | $\cdot$ | $\cdot$ | $\cdot$ | 8 | 1 |
| -2 | -2 | 0 | $\cdot$ | $\cdot$ | $\cdot$ | 2 | -6 |
| -4 | 1 | 4 | $\cdot$ | $\cdot$ | $\cdot$ | -1 | 2 |

$\mathrm{B}=\mathrm{AS}+\mathrm{E} \bmod (\mathrm{q})=$

| 1637 | 130 | 771 | $\cdot$ | $\cdot$ | $\cdot$ | 671 | 453 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 908 | 123 | 438 | $\cdot$ | $\cdot$ | $\cdot$ | 1399 | 264 |
| 527 | 963 | 184 | $\cdot$ | $\cdot$ | $\cdot$ | 1573 | 61 |
| $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ |
| $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ | $\cdot$ |
| 720 | 312 | 299 | $\cdot$ | $\cdot$ | $\cdot$ | 1955 | 130 |
| 403 | 389 | 357 | $\cdot$ | $\cdot$ | $\cdot$ | 1428 | 1659 |
| 277 | 1467 | 1094 | $\cdot$ | $\cdot$ | $\cdot$ | 1056 | 39 |

Let $\mathbf{a}=[-1,0,1]^{\mathrm{m}}$ where the elements are chosen randomly.
$\left[\begin{array}{lllllllll}-1 & 0 & -1 & -1 & \ldots & 0 & 1 & 1 & 1\end{array}\right]$
$\mathrm{C}=\mathrm{B}^{\mathrm{T}} \times \mathrm{a}+\mathrm{f}(\mathrm{v}) \bmod (\mathrm{q})[9813964081049 \ldots 2911356$ 193]
$\mathrm{u}=\mathrm{A}^{\mathrm{T}} \mathrm{a} \bmod (\mathrm{q})=\left[\begin{array}{lll}314 & 18401588 & 148 \ldots 9881447 \\ 1125\end{array}\right]$
$\mathrm{D}=\mathrm{C}-\mathrm{S}^{\mathrm{T}} \times \mathrm{u} \bmod (\mathrm{q})\left[\begin{array}{lll}195219921358 \ldots 151998 & 143\end{array}\right]$
$\mathrm{D} / \frac{q}{t}=\left[\begin{array}{lllllllllllllllll}0 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1\end{array} \ldots 000\right]$
Convert binary to decimal [87 $105108100 \ldots 35211046$ ]
When the compression process is reversed we get the original message:

| Cable 1: |  |  |  |
| :--- | :---: | :---: | :---: |
| File Size <br> in KB | Total Execution time <br> without Compression | Total Execution <br> time with LZW | Total Execution <br> time with Huffman |
| 1 | 3.13 | 2.4289 | 2.10777 |
| 2 | 10.84 | 5.3588 | 4.28654 |
| 3 | 16.26 | 8.1736 | 6.56431 |
| 4 | 21.63 | 10.9583 | 8.90506 |
| 5 | 27.00 | 14.7273 | 11.39183 |
| 6 | 32.43 | 18.2190 | 13.98658 |



Fig. 1:

## RESULTS

The following table (Table 1) gives the total execution time taken for a direct encryption and decryption, encryption and decryption after a LZW compression and encryption and decryption after a Huffman compression. The graphical representation of the table is shown in Fig. 1.

## CONCLUSION

In this paper we have used ring-LWE to encrypt an input text. The text to be transmitted has been initially compressed using LZW Technique and the compressed text is encrypted using LWE. We have also used Huffman coding algorithm for compression for comparison purpose. It has been observed that compressing the input text greatly reduces the total time of transmission and Huffman coding works out to be better.

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